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PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/23/2004 FFANAEIA 00000044 024377 10804887

01 FC:1001 770.00 DA

Adjustment date: 05/28/2004 EEKUBAY1  
03/23/2004 FFANAEIA 00000044 024377 10804887  
01 FC:1001 770.00 CR

05/28/2004 EEKUBAY1 00000002 024377 10804887

01 FC:2001 385.00 DA

PTO-1556  
(5/87)

DEPART  
ROOM 307

A36182 - 071421.0111

STATUS AND ENTITY  
BRANCH PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 26 2004 11:00

: Kauko RAUTIO

Application No. : 10/804,887

Examiner : Not Yet Assigned

Filed : March 19, 2004

Group Art Unit : Not Yet Assigned

For : CHIPPING HEAD

REQUEST FOR REFUND

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

April 22, 2004

Date of Deposit

Neil P. Sirota

Attorney Name

Signature

38,306

PTO Registration No

April 22, 2004

Date of Signature

Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

**Attn: REFUND SECTION  
ACCOUNTING DIVISION OFFICE OF FINANCE**

Sir:

In accordance with 37 C.F.R. § 1.26 allowing a Request for Refund to be filed requesting a refund of a fee paid by mistake or in excess of that required, applicant applies for a \$385 refund of half the filing fee paid in connection with filing the above-identified patent application.

A36182 - 071421.0111

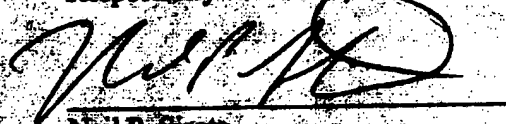
STATUS AND ENTITY  
BRANCH

PATENT

Attached hereto is an executed Verified Statement (Declaration) Claiming Small Entity Status under 37 CFR 1.9(f) and 1.27(b) for an independent inventor.

It is hereby respectfully requested as a credit to our Deposit Account No. 02-4377. A duplicate copy of this request is also attached.

Respectfully submitted,

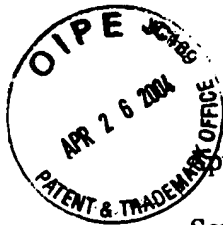


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Enclosures

Attorney Docket No. A36182 - 071421.0111  
Baker Botts, L.L.P.



Applicant or Patentee: Kauko RAUTIO

Serial or Patent No.: \_\_\_\_\_ Filed or Issued: \_\_\_\_\_

For: CHIPPING HEAD

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "CHIPPING HEAD" described in

- ☐ the specification filed herewith  
☒ Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

- ☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION